

ANF 2EE

APPLICATION FORM FOR REQUEST FOR ENTERING INTO AN ARRANGEMENT OR UNDERSTANDING THAT INVOLVES SITE VISIT, ON-SITE VERIFICATION OR ACCESS TO RECORDS / DOCUMENTATION AS MENTIONED IN APPENDIX 3 OF SCHEDULE 2 OF ITC (HS) CLASSIFICATION OF EXPORT AND IMPORT ITEMS

[Please see guidelines (at the end) before filling the application]

1. IEC Number*

*IEC should not be under DEL on the date of application.

2. Applicant Details:

i. Name

ii. Address

3. Jurisdictional Regional Authority:

4. Detail of activities and operations:

5. Details of the provisions of the arrangement or understanding involving site visits / on-site verification / access to records / documentation (e.g. nature of documentation, mode of verification, nature and frequency of site visits etc.) Please include all details and attach the draft of relevant declaration / arrangement / MOU etc. *

* Enclose additional sheet if required

6. Purpose of arrangement/understanding involving site-visit / on-site verification / access to record / documentation (please tick and give clarification, if any):

i. Export of SCOMET Items

ii. Import of SCOMET Items

7. In case purpose is export / import of SCOMET Items, following details may be provided :

(a). Details of SCOMET items in Appendix 3 of Schedule 2 of ITC(HS) Classification of Export & Import Items:

Sl. No.	Description of export / import items	SCOMET Category i.e. 1B, 1C, 2,3,4,5 and 7	SCOMET Item No.	ITC (HS) Code No. (if available)	Quantity

(b) End user Details :

i. Name

ii. Address

iii. Telephone No.

iv. Fax No.

v. Location (Country) of end user

vi. End product for which the item of export will be used by the end user

vii. Purpose for which the end product will be utilized

viii. Is end user an entity of Government of destination country?

ix. Manufacturing / Business / other activity of the end user

8. Details of export / import of SCOMET items in the preceding 3 licensing years:

Sl. No.	Export / Import Licence/Authorization Details	Category & Description of	Qty. exported	Date of Shipment	FOB Value of Exports /	Countr y to/	Name of the
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No.	Date	Qty	Value (US \$)	items Exported / Imported	/ imported		Imports (US \$)	from which export ed / import ed	End User

9. Details of the Foreign Government / Foreign Third Party**

i. Name	
ii. Address	
iii. Telephone No.	iv. Fax No.
v. Is the party, an entity of Govt. of that country?	

** Detailed profile to be enclosed.

10. If the visit / verification / access to records will be through an Indian Party, details of the Indian Party :

i. Name	
ii. Address	
iii. Telephone No.	iv. Fax No.
v. Address of Corporate Office.	

11. (a) Period of arrangement or understanding that involves site visit, on-site verification or access to records / documentation:

(b). Proposed number of visits:

12. Detail of sites and activities which will be covered by the arrangement / understanding #

(I)	
i. Address	
ii. Telephone No.	iii. FAX No.
iv. Nature of Unit: Corporate Office / Registered Office / Branch Office /Manufacturing unit / Laboratory.	
(v) Activity.	
(II)	
i. Address	
ii. Telephone No.	iii. FAX No.
iv. Nature of Unit: Corporate Office / Registered Office / Branch Office /Manufacturing unit / Laboratory.	
v. Activity.	

Enclose additional sheet, if required.

13. Details of persons / individuals who shall visit during site visit / on-site verification etc. ##

(I)	
i. Name	
ii. Address	
iii. Nationality	
iv. Position / Designation in the foreign government / foreign third party / Indian Party	
v. Telephone No.	vi. Fax No.
(II)	
i. Name	
ii. Address	
iii. Nationality	

iv. Position / Designation in the foreign government / foreign third party / Indian Party	
v. Telephone No.	vi. Fax No.

Enclose additional sheet, if required.

14. If applied for permission for entering into arrangement / understanding that involves site visit, on-site verification or access to records / documentation on repeat basis during last five (5) licensing years for the same purpose, please furnish:
Reference Number and date vide which earlier permission granted

15. Foreign Collaborator Details (As registered with GOI/RBI) (If No foreign collaboration exists, please state 'None')
i. Name
ii. Address

DECLARATION/UNDERTAKING

1. I / We hereby declare that the particulars and the statements made in this application are true and correct to the best of my / our knowledge and belief and nothing has been concealed or held there from.
2. I / We fully understand that any information furnished in the application if found incorrect or false will render me / us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
3. I / We undertake to abide by the provisions of the FT (D & R) Act, 1992, the Rules and Orders framed there under, FTP, HBP v 1 and HBP v2 and ITC (HS).
- 4
 - a. I / We hereby certify that the firm / company for whom the application has been made has not been penalized under Customs Act, Excise Act, FT (D & R) Act 1992 and FERA / FEMA.
 - b. I / We hereby certify that none of the Proprietor / Partner(s) / Director(s) / Karta / Trustee of firm / company, as the case may be, is / are a Proprietor / Partner(s) / Director(s) / Karta / Trustee in any other firm / Company which has come to adverse notice of DGFT.
 - c. I / We hereby certify that the Proprietor / Partner(s) / Director(s) / Karta / Trustee, as the case may be, of the firm/company is / are not associated as Proprietor / Partner(s) / Director(s) / Karta / Trustee in any other firm / company which is in the caution list of RBI.
 - d. I / We hereby certify that neither the Registered Office / Head Office of the firm/company nor any of its Branch Office(s) / Unit(s) / Division(s) has been declared a defaulter and has otherwise been made ineligible for undertaking import / export under any of the provisions of the Policy.
5. I / We hereby declare that I / We have not obtained nor applied for such benefits (including issuance of an Importer Exporter Code Number) in the name of our Registered / Head Office or any of our Branch(s) / Unit(s) / Division(s) to any other Regional Authority.
6. I / We solemnly declare that I / We have applied for / obtained a RCMC to the EPC which pertains to our main line of business. In case we have applied to any other council, the application has been made within the purview of the provisions of Para 2.67 and Para 2.67.1 of the HBP v1.
7. a. I / We further undertake to maintain record of site visit, on-site verification or access to records / documentation and produce the same as and when asked to do so by the Government of India.
b. I/We also hereby inform that we have complied with the conditions of all previous permissions issued to us for entering into an arrangement or understanding that involves site visits, on-site verification or access to records / documentation.
8. I hereby certify that I am authorized to verify and sign this declaration as per Paragraph 9.9 of the Policy.

Place:	Signature of the Applicant	
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	Name	
	Designation	
Date:	Official Address	
	Telephone	
	E-mail Address	

GUIDELINES FOR APPLICANTS

(Please also see paragraph 2.49 of HBP v1)

1. One original application in the prescribed format ANF 2EE and ANF 1 and six copies thereof along with self-certified copies of the documents as in para 2 below must be submitted to DGFT (HQ), Udyog Bhavan, New Delhi. Each page of the original application has to be signed by the applicant with stamp of the company.
2. Application must be accompanied by self-certified copies of the documents as per details given below:
 - (i) Import & Export Code number.
 - (ii) Copy of draft Declaration / draft Agreement / draft MOU proposed to be signed for entering into an Arrangement or Understanding that involves site visit etc.
 - (iii) Technical Specifications (not exceeding one page for each item) for the items to be exported / imported.
 - (iv) Profile of the foreign government / foreign third party / Indian party.
3. In case purpose of site visit / on-site verification is export / import, Original End User certificate (in the prescribed format Appendix 36 on Letter Head of the End User) indicating complete details of the export / import product, end product, end purpose for which the item of export / import will be used by end user alongwith complete address and telephone No. of end user must be furnished alongwith original application. End User Certificate from the following must also be submitted:
 - (i) By the final end user if the import is by a third party / contractor.
 - (ii) By the third party /contractor, if any, who is supplying goods to the end user.
4. Complete address(s) should be stated in relevant columns. P.O. Box number will not be accepted.