

ANF 3D

**ANF for Policy Para 3.13.4
For Agri. Infrastructure Incentive Scrip under VKGUY
(Kindly see Para 3.13.4 of FTP and Para 3.7.2 of HBPv1)**

**Export of Products covered under ITC HS Chapter 1 to 24
(to be filing with RA. CLA. New Delhi only)
April – September / October – March period
Part A**

1. Applicant Detail	
IEC Number	Branch Code
Name	
Address	
Telephone No	
Email ID	

2. Application Details	
Application For	
Ecom. Reference No	Submission Date
Submitted To	
RLA File No	RLA File Date

3. RCMC Details	
i. RCMC Number	
ii. Date of Issue	
iii. Issuing Authority	
iv. valid upto	
v. Products for which registered	

4. Type of Exporter (please tick) (✓)	
i. Government Undertaking	
ii. Public Limited	
iii. Private Limited	
iv. Proprietorship	
v. Partnership	
vi. Others	

5. Nature of Concern (please tick) (✓)	
i. Merchant Exporter	
ii. Manufacturer Exporter	
iii. Service Provider	
iv. Others (please specify)	
v. Merchant cum Manufacturer	

6. Status House Details:	
i. EH / SEH / TH / STH / PTH	
ii. Certificate Number	
iii. Date of Issue	
iv. Issuing Authority	
v. Valid Upto	

Part B

7 (i) Licensing Year (AM10/AM11/AM12 etc.):		
(ii) Period of Application: Apr-Sep _____ / Oct-Mar _____ Period (i.e. Apr-Sep 2009 / Oct-Mar 2010, etc.)		
(iii) Date of Filing this Application:		
8. Details of exports:		
Sr. No	Particulars	FOB Value (as per Shipping Bill, including up to 12.5% Foreign)
1	Total value of exports of products covered under ITC HS Chapter 1 to 24, Details as per Annexure 1 of CA certificate.	
2	Maximum Para 3.13.4 benefits (@ 10% of 1 above)	
3	Out of 1 above, Total value of exports of products covered / to be covered under VKGUY Policy Para 3.13.2 – Details as per Annexure 2 of CA certificate.	
4	Value of Policy Para 3.13.2 benefits	
5	Claim for duty credit scrip under Agri. Infrastructure Incentive Scrip under VKGUY – Policy Para 3.13.4 (Row 2 – Row 4)	
6	Duty Credit Scrip granted under Para 3.13.4 (to be filled in by CLA, New	

9. Number of Split Certificates required (in multiples of Rs 5 lakhs each):

10. Port of Registration (for the purpose of imports)***:

(*Exports made on or after 1st April in terms of Para 9.12)

(**The port of registration shall be one of the ports from which the exports have been made.)

DECLARATION / UNDERTAKING

- I hereby declare that particulars and statements made in this application are true and correct and nothing has been concealed or held therefrom. I fully understand that any information furnished in application if found incorrect or false will render my firm / company and me liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
- I hereby certify that none of Proprietor/ Partner(s) / Director(s) / Karta / Trustee of firm / company, as the case may be, is a Proprietor / Partner(s) / Director(s) / Karta / Trustee in any other firm / company which has come to adverse notice of DGFT. Consequentially, I further declare that under Foreign Trade (Development and Regulation) Act (FT (D&R) Act) 1992, my firm / company have not been debarred in person from undertaking any export import business or activity by way of suspension or cancellation of IEC number. [If so debarred under FT (D&R) Act, details of said order and period for which the same is operational may be provided].
- I hereby certify that my firm / company has not defaulted from export obligation under any provisions of FTP and has not been placed under the Denied Entity List (DEL). [If under DEL List, kindly furnish details of order, current status etc.]
- I hereby declare that details of exports of goods of my firm / company are true and correct and in accordance with accounts maintained in my firm / company.
- I hereby declare that in terms of Para 3.17.10 of FTP, this application does not contain any exports for which benefit under FPS (including MLFPS) – Para 3.15 / FMS – Para 3.14 of Chapter 3 of FTP has been / will be claimed by the supporting manufacturer based on disclaimer, implying that if any shipment has been disclaimed by the applicant in favour of his supporting manufacturer for claiming the benefits, then that shipment cannot be counted for this claim under Para 3.13.4.
- I hereby declare that in terms of Para 3.17.8 of FTP, this application does not contain any

exports for which benefit under FPS (including MLFPS) – Para 3.15 / FMS – Para 3.14 of Chapter 3 of FTP has been / will be claimed by the applicant.

7. I hereby declare that export product for which the duty credit scrip benefit is being claimed does not contain any shipment (as per Para 3.17.2 of FTP) from the following ineligible export categories / sectors:
- a. EOUs / EHTPs / BTPs who are availing direct tax benefits / exemption;
 - b. Export of imported goods covered under Para 2.35 of FTP;
 - c. Exports through transshipment, meaning thereby that exports originating in third country but transhipped through India;
 - d. Deemed Exports
 - e. Exports made by SEZ units or SEZ products exported through DTA units; and
 - f. Items, which are restricted or prohibited for export under Schedule-2 of Export Policy in ITC (HS).
8. I hereby declare that the details of exports for which VKGUY benefits under Para 3.13.2 has been claimed / will be claimed has been correctly given in Annexure 2 of CA certificate.
9. I hereby certify that I am authorized to verify and sign this declaration as per Paragraph 9.9 of FTP.

Place: _____	Signature: _____
Date: _____	Name: _____
<u>Documents to be submitted</u>	Designation: _____
1. CA certificate as per Annexure 2. Export promotion (EP) copy of Shipping Bill / Bill of Export (including in case of exports through Land Customs).	Official Address: _____ _____
3. Relevant Original Bank Certificate of Exports and Realisation - Appendix 22A or offsetting of export proceeds with approval of RBI (Appendix 22D)	Residential Address: _____
4. Copy of Status Certificate valid for the licensing year for which this application pretains to .	Telephone: _____
<u>Note:</u> 1. Each individual page of application has to be signed. One Printout of this application duly signed in provided space. Copy of Updated Profile in ANF 1 only if any changes have taken place and updated, along with copy of Valid RCMC.	Email Address: _____
2. In cases where original EP copy of Shipping Bill(s) / Bill of export and/or Bank Realisation Certificates has been submitted under any other scheme of FTP, a self attested photocopy(s) of EP copy of the same be attached, quoting Reference File no. & concerned Regional Authority / Customs where original documents have been submitted.	

**For Agri. Infrastructure Incentive Scrip under VKGUY
(Kindly see Para 3.13.4 of FTP and Para 3.7.2 of HBPv1)**

**CERTIFICATE OF CHARTERED ACCOUNTANT (CA)
COST AND WORKS ACCOUNTANT (ICWA)/ COMPANY SECRETARY (CS)**

I / We hereby confirm that I / We have examined prescribed registers and also relevant records of M/s
having IEC Number for exports during April -
 September
 / October – March period (s) and hereby certify that:

1	Following documents / records have been furnished by applicant firm / company and have been examined and verified by me / us namely: - a. Statutory documentations under Customs Act 1962, Foreign Trade (Development & Regulation) Act 1992; b. Shipping Bills, Bill of Lading (and / or Airways Bills / PP Receipts), Customs / Bank attested Invoices, Forward Inward Remittance Certificates (FIRCs) and Bank Certificates of exports and realization, and connected books of accounts;
2	Information given by applicant firm / company in ANF3D for Agri. Infrastructure Incentive Scrip under VKGUY (Para 3.13.4) is in agreement with FTP 2009-14, as amended, and rules and procedures made thereunder, relevant register and records and books of accounts maintained by M/s and is also true and correct.
3	It has been ensured that a. Information furnished is true and correct in all respects; no part of it is false or misleading and no relevant information has been concealed or withheld; b. In respect of export of goods, a shipment can counted in applicants export turnover / performance only if the realization of export proceeds from overseas is in the applicant's bank account.
4	Neither I, nor any of my / our partners is a partner, director, or an employee of above-named entity, its Group companies or its associated concerns;
5	I / We further certify that export proceeds for shipments during last three years plus current are not outstanding beyond prescribed period as permitted by RBI.
6	I / We further certify that Statement of Exports as given in Annexure 1 to this certificate, and Statement of Exports where VKGUY Para 3.13.2 benefits is already claimed / to be claimed, as per Annexure 2 to this certificate is correct.
7	I / We fully understand that any statement made in this certificate, if proved incorrect or false, will render me / us liable to face any penal action or other consequences as may be prescribed in law or otherwise warranted.

Place: _____	Signature of CA/ ICWA / CS: _____
Date: _____	Name of the Signatory: _____ _____
	Address: _____
	Membership No: _____
	Email Address: _____

If any of documents of record mentioned in serial number (i) have not been maintained / furnished, examined or verified, they may please be specified below:-

- 1)
- 2)
- ...

Annexure 1 to CA certificate for ANF 3D

Statement of Exports of products covered under ITC HS Chapter 1 to 24

Sr. No.	Shipping Bill No and Date	Description and ITC HS Code	Date of Export (Para 9.12 of HBPv1)	FOB Value (as per Shipping Bill, including upto 12.5% Foreign Agency)
Total (Rs)				

Note: This statement of exports as per annexure 1 should also be countersigned by the applicant.

Place: _____	Signature of Applicant: _____
Date: _____	Name of the Signatory: _____
	Address: _____
	Email Address: _____

Place: _____	Signature of CA/ ICWA / CS: _____
Date: _____	Name of the Signatory: _____
	Address: _____
	Membership No: _____
	Email Address: _____

Annexure 2 to CA certificate for ANF 3D

Statement of Exports of products covered under ITC HS Chapter 1 to 24 (as in Annexure 1 above), for which VKGUY benefits is eligible under Para 3.13.2 and is claimed / will be claimed.

Sr. No.	Shipping Bill No and Date	Date of Export (Para 9.12 of HBPv1)	Related file no (Where VKGUY under Para 3.13.2 is already filed)	FOB Value (as per Shipping Bill, including upto 12.5% Foreign Agency
Total (Rs)				

Note: This statement of exports as per annexure 2 should also be countersigned by the applicant.

Place: _____	Signature of Applicant: _____
Date: _____	Name of the Signatory: _____
	Address: _____
	Email Address: _____

Place: _____	Signature of CA/ ICWA / CS: _____
Date: _____	Name of the Signatory: _____
	Address: _____
	Membership No: _____
	Email Address: _____